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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2006

Applicant claims small entity status. See 37 CFR 1.27

|                                |      |        |
|--------------------------------|------|--------|
| <b>TOTAL AMOUNT OF PAYMENT</b> | (\$) | 180.00 |
|--------------------------------|------|--------|

**Complete if Known**

|                      |                        |
|----------------------|------------------------|
| Application Number   | 10/697,027-Conf. #1099 |
| Filing Date          | October 31, 2003       |
| First Named Inventor | Kazuo OKADA            |
| Examiner Name        | R. Hsu                 |
| Art Unit             | 3714                   |
| Attorney Docket No.  | SHO-0043               |

**METHOD OF PAYMENT** (check all that apply)

Check    Credit Card    Money Order    None    Other (please identify): \_\_\_\_\_  
 Deposit Account   Deposit Account Number: 18-0013   Deposit Account Name: Rader, Fishman & Grauer PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below    Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17    Credit any overpayments

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| <u>Application Type</u> | <u>FILING FEES</u> |                     | <u>SEARCH FEES</u> |                     | <u>EXAMINATION FEES</u> |                     | <u>Fees Paid (\$)</u> |
|-------------------------|--------------------|---------------------|--------------------|---------------------|-------------------------|---------------------|-----------------------|
|                         | <u>Fee (\$)</u>    | <u>Small Entity</u> | <u>Fee (\$)</u>    | <u>Small Entity</u> | <u>Fee (\$)</u>         | <u>Small Entity</u> |                       |
| Utility                 | 300                | 150                 | 500                | 250                 | 200                     | 100                 |                       |
| Design                  | 200                | 100                 | 100                | 50                  | 130                     | 65                  |                       |
| Plant                   | 200                | 100                 | 300                | 150                 | 160                     | 80                  |                       |
| Reissue                 | 300                | 150                 | 500                | 250                 | 600                     | 300                 |                       |
| Provisional             | 200                | 100                 | 0                  | 0                   | 0                       | 0                   |                       |

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Small Entity</u> |
|---------------------|---------------------|-----------------|----------------------|---------------------|
|                     |                     |                 |                      |                     |

Fee (\$)

Fee (\$)

Each independent claim over 3 (including Reissues)

50      25

Multiple dependent claims

200      100

360      180

|                     |                     |                 |                      |
|---------------------|---------------------|-----------------|----------------------|
| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|                     |                     |                 |                      |

**Multiple Dependent Claims**

|                 |                      |
|-----------------|----------------------|
| <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|                 |                      |

HP = highest number of total claims paid for, if greater than 20.

|                      |                     |                 |                      |
|----------------------|---------------------|-----------------|----------------------|
| <u>Indep. Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|                      |                     |                 |                      |

|                 |                      |
|-----------------|----------------------|
| <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|                 |                      |

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|                     |                     |   |                 |                      |
|---------------------|---------------------|---|-----------------|----------------------|
| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|                     |                     |   |                 |                      |

- 100 =      /50      (round up to a whole number) x      =

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement      180.00

| <b>SUBMITTED BY</b> |                          | Registration No.<br>(Attorney/Agent) | Telephone      |
|---------------------|--------------------------|--------------------------------------|----------------|
| Signature           | <u>Carl Schaukowitch</u> | 29,211                               | (202) 955-3750 |
| Name (Print/Type)   | Carl Schaukowitch        | Date                                 | March 16, 2007 |



(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**Kazuo OKADA**

Application No.: 10/697,027

Filed: October 31, 2003

For: GAMING MACHINE

Group Art Unit: 3714

Examiner: R. Hsu

Confirmation No.: 1099

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

03/19/2007 MAHMED1 00000071 180013 10697027  
01 FC:1806 180.00 DA

Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98
- excluding a copy of U.S. patent or U.S. patent application publication as waived by the U.S. Patent and Trademark Office, since this application was filed after June 30, 2003 or has entered the national stage under 35 U.S.C. § 371 after June 30, 2003 (1276 OG 55).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which

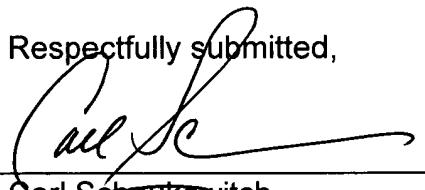
is determined to be a *prima facie* prior art reference against the claims of the present application.

1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application, but before payment of the Issue Fee (37 C.F.R. §1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. The Patent Office is authorized to charge \$180.00 under 37 CFR §§ 1.97(d)(2) and 1.17(p) in view of the statement below.

a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a related U. S. application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013, as needed, to ensure consideration of the disclosed information.

Date: March 16, 2007

Respectfully submitted,  
  
By: Carl Schaukowitch  
Registration No. 29,211

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|                              |   |    |   |                        |                        |
|------------------------------|---|----|---|------------------------|------------------------|
| Substitute for form 1449/PTO |   |    |   | Complete if Known      |                        |
|                              |   |    |   | Application Number     | 10/697,027-Conf. #1099 |
|                              |   |    |   | Filing Date            | October 31, 2003       |
|                              |   |    |   | First Named Inventor   | Kazuo OKADA            |
|                              |   |    |   | Art Unit               | 3714                   |
|                              |   |    |   | Examiner Name          | R. Hsu                 |
| Sheet                        | 1 | of | 1 | Attorney Docket Number | SHO-0043               |

| U.S. PATENT DOCUMENTS |                       |  |                                |  |   |
|-----------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| AA*                   | US-4,518,225          |  | 05-21-1985                     | L. R. FREDRICKSON et al.                           |   |
| AB*                   | US-4,568,928          |  | 02-04-1986                     | M. A. BIFERNO                                      |   |
| AC*                   | US-4,826,296          |  | 05-02-1989                     | Y. YOSHIMURA                                       |   |
| AD*                   | US-5,146,354          |  | 09-08-1992                     | B. PLESINGER                                       |   |
| AE*                   | US-5,546,296          |  | 08-13-1996                     | D. SAVIGNAC et al.                                 |   |
| AF*                   | US-6,141,067          |  | 10-31-2000                     | M. IKKA  |   |
| AG*                   | US-6,339,418-B1       |  | 01-15-2002                     | M. KITAGAWA  |   |
| AH*                   | US-6,377,339-B1       |  | 04-23-2002                     | L. A. WESTERMAN et al.                             |   |
| AI*                   | US-6,642,975-B2       |  | 11-04-2003                     | N. CHINO et al.                                    |   |
| AJ*                   | US-2003,0214471-A1    |  | 11-20-2003                     | R. TOPELBERG                                       |   |
| AK*                   | US-2004/0029636-A1    |  | 02-12-2004                     | W. WELLS   |   |
| AL*                   | US-6,720,021-B2       |  | 04-13-2004                     | V. Y. L. WONG et al.                               |   |
| AM*                   | US-6,853,410-B2       |  | 02-08-2005                     | M. MATSUDA et al.                                  |   |
| AN*                   | US-6,893,345-B2       |  | 05-17-2005                     | S. MOTEGI et al.                                   |   |
| AO*                   | US-6,954,238-B2       |  | 10-11-2005                     | C. H. LIU et al.                                   |   |
| AP*                   | US-6,976,915-B2       |  | 12-20-2005                     | C. L. BAKER et al.                                 |   |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |
|--------------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |
|                          |                       |   |                                |  | T <sup>6</sup>  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*CITE NO.: Those application(s) which are marked with a single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |   |  |  |                |
|---------------------------------|-----------------------|---|--|--|----------------|
| Examiner Initials               | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |                |
|                                 |                       |   |  |  |                |
|                                 |                       |   |  |  | T <sup>2</sup> |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

|                    |  |                 |
|--------------------|--|-----------------|
| Examiner Signature |  | Date Considered |
|--------------------|--|-----------------|